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U.S. Department of Commerce  
Patent and Trademark Office

Information Disclosure Statement by Applicant

Atty. Docket Number  
**SAWA3004/JDB**

Serial Number  
**09/774,188**

Applicant  
**Gunther Sawatzki**

Filing Date  
**Oct. 31, 2003**

Group  
**1615**

Foreign Patent Documents

Examiner Initial	Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
						Yes	No
CE	EP 0307 523 B1 ✓	24/Mar/93	EPO				
	WO 96/13271 ✓	09/May/96	WIPO				
	WO 95/26646 ✓	12/Oct/95	WIPO				
CE	EP 0 692 252 A1 ✓	17/Jan/96	EPO				

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Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

CE	✓	S. S. Cho et al., "Complex Carbohydrates in Foods", Marcel Dekker Inc., N.Y., 1999
	✓	J. H. Cummings, "Gastrointestinal Effects of Food Carbohydrate <sup>1-3</sup> ", <i>Am J Clin Nutr</i> , April 1995; Suppl. 4 61 (suppl): pp. 938-945
	✓	T. Kohmoto et al., "Effect of Isomalto-oligosaccharides on Human Fecal Flora", <i>Bifidobacteria Microflora</i> , Vol. 7(2), pp. 61-69, 1988.
	✓	Masaki Ito et al., "Influence of Galactooligosaccharides on the Human Fecal Microflora", <i>J. Nutr. Sci. Vitaminol.</i> , 39, 635-640, 1993.
	✓	Masaki Ito et al., "Effects of Transgalactosylated Disaccharides on the Human Intestinal Microflora and Their Metabolism", <i>J. Nutr. Sci. Vitaminol.</i> , 39, 279-288, 1993.
	✓	M. Roberfroid, "Dietary Fiber, Inulin, and Oligofructose: a review comparing their physiological effects", <i>Critical Reviews in Food Science and Nutrition</i> , 33(2): 103-148, 1993.
	✓	G. R. Gibson et al., "Dietary Modulation of the Human Colonic Microbiota: Introducing the Concept of Prebiotics", <i>American Institute of Nutrition</i> , 0022-3166/1995, pp. 1401-1412.
	✓	G. R. Gibson et al., "Bifidogenic properties of different types of fructo-oligosaccharides", <i>Food Microbiology</i> , 1994, 11, pp. 491-498.
	✓	K. Yazawa et al., "Search for Sugar Sources for Selective Increase of Bifidobacteria", <i>Bifidobacteria Microflora</i> , Vol. 1(1), pp. 39-44, 1982.
	✓	RAFTILOSE®P95 Product Sheet Release: 05/95
	✓	RAFTILINE®ST Product Sheet Release: 05/95
CE	✓	RAFTILINE®HP Product Sheet Release: 05/95

Examiner **C. Evans**

Date Considered **12/2003**

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## Foreign Patent Documents

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							Yes	No
<i>ORF</i>	✓	EP 0 756 828 A1	04/Aug/96	EPO				
	✓	WO 97/34615	25/Sept/97	WIPO				
	✓	EP 0 958 825 A1	24/Nov/99	EPO				
	✓	EP 0 511 761 A1	04/Nov/92	EPO				
<i>CLG</i>	✓	EP 0 705 539 A2	10/Apr/96	EPO				

## Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

<i>CLG</i>	✓	ORAFTI-Leaflet RAFTILOSE®
	✓	ORAFTI-Leaflet RAFTILINE®
	✓	ORAFTI-Leaflet RAFTILOSE RAFTIMIX
	✓	RAFTIMIX®10 Product Sheet Release: 05/95
	✓	Listing of sales of RAFTIMIX®10 to clients i.a. in period 13/9/96 to 19/5/98
	✓	Manufacture protocol with composition of RAFTIMIX®10 1995
	✓	Analysis of RAFTIMIX®10; RAFTIMIX®ST and RAFTILOSE®P95
	✓	A. Detry, Dissertation 1992, Institut Paul Lambin "Implications technologiques et nutritionnelles ..."
	✓	R. Hartemink "Non-digestible oligosaccharides: healthy food for the colon?" Wageningen, The Netherlands, 1997, p. 130-131.
	✓	Think RAFTILINE®, RAFTILOSE®, Inuline and Oligofructose
	✓	Innovate with RAFTILINE®(ORAFTI leaflet (Doc. 3b, E2)
	✓	E. Meleggi et al., XXI International Dairy Congress; brief communications "New baby food milk products"
	✓	FIBRULINE®Instant
	✓	R. Tanaka et al., "Effects of Administration of TOS and Bifidobacterium breve 4006 on the Human fecal flora", <i>Bifidobacteria Microflora</i> , Vol. 2(1), 17-24, 1983.
<i>CLG</i>	✓	S. Salimen et al., "Functional food science and gastrointestinal physiology and function", <i>British Journal of Nutrition</i> , 1998, 80, Suppl. 1, S147-S171.

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## U.S. Patent Documents

Examiner Initial		Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate
CLG	✓	5,733,579	31/Mar/98	Wolf et al.	424	606	

## Foreign Patent Documents

Examiner Initial		Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
							Yes	No
CLG	✓	WO 98/26787	25/Jun/98	WIPO				
CLG	✓	WO 97/02829	30/Jun/97	WIPO				

## Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

CLG	✓	G. Gibson et al., "Dietary Modulation of the Human Colonic Microbiota: Introducing the Concept of Prebiotics", <i>American Institute of Nutrition</i> , pp. 1401-1412, 1995
1	✓	Elix'or Galacto-oligosaccharides for Innovative Foods
	✓	Elix'or Galacto-oligosaccharides: A natural ingredient for functional foods, <i>Borculo Whey Products</i> .
	✓	Y. Bouhnik et al., "Administration of Transgalacto-Oligosaccharides Increases Fecal Bifidobacteria and Modifies Colonic Fermentation Metabolism in Healthy Humans", <i>American Society for Nutritional Sciences</i> , pp. 444-448, 1997.
	✓	M. Ito et al., "Effects of Administration of Galactooligosaccharides on the Human Faecal Microflora, Stool Weight and Abdominal Sensation", <i>Microbial ecology in health and disease</i> , vol. 3, pp. 285-292, 1990
	✓	R. G. Crittenden et al., "Production, properties and applications of food-grade oligosaccharides", <i>Trends in Food Science &amp; Technologies</i> , Vol. 7, Nov. 1996, pp. 353-361.
CLG	✓	G. R. Gibson et al., "Selective stimulation of bifidobacteria in the Human Colon by Oligofructose and Inulin", <i>Gastroenterology</i> 1995, 108, pp. 975-982.

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